

Meeting date: 14th November 2022

Report to: Audit Committee

Report title: RISK MANAGEMENT INFORMATION REPORT

Report from: Director of Resources & Deputy Chief Executive

Report author/lead contact officer: Mark Wills – Health, Safety and Risk Manager
mark.wills@solihull.gov.uk 0121 704 6829

Wards affected:

- All Wards | Bickenhill | Blythe | Castle Bromwich | Chelmsley Wood |
 Dorridge/Hockley Heath | Elmdon | Kingshurst/Fordbridge | Knowle |
 Lyndon | Meriden | Olton | Shirley East | Shirley South |
 Shirley West | Silhill | Smith's Wood | St Alphege
-

Public/private report: Public

1. Executive Summary

- 1.1 As part of the Council's Risk Management Approach, Audit Committee:
- Monitors the effective development and operation of risk management.
 - Considers compliance with the Risk Management Policy and Procedure.
- 1.2 This report and its appendices provide an overview of the corporate risk register as well as informs the Committee of any new or emerging high operational risks that have been recorded on our risk management system.

2. Decision(s) Recommended

- 2.1 The Audit Committee are asked to consider the information provided in this report and determine whether any further action is needed in respect of the identified corporate risks.

Report Title: RISK MANAGEMENT INFORMATION REPORT

3. Matters for Consideration

3.1 The Council has an agreed Risk Management Policy which includes criteria for assessing the likelihood and impact of identified risks to rate them as either high red, medium amber or low green risks. For information, the agreed criteria is provided as Appendix A.

3.2 Audit Committee holds the Corporate Leadership Team (CLT) accountable for the effective management of risks and monitors these arrangements through quarterly reports, the last being on 11th October 2022.

3.3 Attached at Appendix B is information detailing the corporate risks and how these are being managed. The report format has been updated to show how the risks link to key risk categories as well as Council plan priorities, to provide greater context to the corporate risks and their impact. Appendix C contains an overview of the number and levels of risks currently recorded within each Directorate. Please note that Appendix E contains confidential information which is exempt under paragraph 3 of Schedule 12A of the Local Government Act 1972.

3.4 The information aims to provide Audit Committee with details of:

- The risks currently being recorded within the Corporate Risk Register and how these are being managed and monitored.

- The number of risks currently recorded within each Directorate with a comparison to last quarter's figures and details of any changes/emerging risks.

Operational net red 9 and net amber 8 risks are published on the Council's Internet Site.

3.5 The Committee had, in the last Committee meeting, requested for additional detail to be provided in relation to specific high risks. This information has been provided in Appendix D.

As part of the Risk Management Policy and Procedure, individual designated officers are responsible for the management of risks contained in the Corporate Risk register. Should Committee Members have any specific queries relating to the management of risks, then the relevant Officer may need to be contacted to gain more information.

4. What options have been considered and what is the evidence telling us about them?

4.1 Not applicable for this report.

5. Reasons for recommending preferred option

5.1 Not applicable for this report.

6. Implications and Considerations

6.1 State how the proposals in this report contribute to the priorities in the [Council Plan](#):

Priority:	Contribution:
<p>People and Communities:</p> <ol style="list-style-type: none"> 1. Improving outcomes for children and young people in Solihull. 2. Good quality, responsive, and dignified care and support for Adults in Solihull when they need it. 3. Take action to improve life chances and health outcomes in our most disadvantaged communities. 4. Enable communities to thrive. 	<p>Risk management contributes to the Council's priorities by providing a framework whereby risks to the achievement of objectives are effectively managed and mitigated against, thereby increasing the likelihood that those objectives are achieved.</p>
<p>Economy:</p> <ol style="list-style-type: none"> 5. Develop and promote the borough's economy, with a focus on revitalising our town and local centres. 6. Maximising the opportunities of UK Central and HS2. 7. Increase the supply of affordable and social housing that is environmentally sustainable. 	
<p>Environment:</p> <ol style="list-style-type: none"> 8. Enhance our natural environment, improve air quality and reduce net carbon emissions. 	
<ol style="list-style-type: none"> 9. Promote employee wellbeing 	

6.2 Consultation and Scrutiny:

6.2.1 Regular risk management performance reports are presented to all DLTs.

6.3 Financial implications:

6.3.1 There are no financial implications as a direct consequence of this report. However, there are potential cost implications if an identified risk were to occur and this forms part of the net risk level assessment.

6.4 Legal implications:

6.4.1 No direct implications.

6.5 Risk implications, including Risk Appetite:

6.5.1 Production of this report forms part of the Council's agreed approach to risk management. This approach is not intended to eliminate all risks and not all risks identified can be managed all of the time. In addition, risks will still exist that have not been identified. However, based on the information provided, it is the opinion of the Corporate Leadership Team that the significant risks have been identified, assessed and arrangements are in place to manage them effectively in line with the Council's risk appetite.

6.6 Equality implications:

6.6.1 No direct implications.

6.7 Linkages to our work with the West Midlands Combined Authority (WMCA), Local Enterprise Partnership or the Birmingham & Solihull Integrated Care System (ICS):

6.7.1 No direct implications.

7. List of appendices referred to

7.1 Appendix A details the Council's agreed risk criteria.

7.2 Appendix B details the current corporate level risks.

7.3 Appendix C details current directorate level risks.

7.4 Appendix D included additional details requested on specific high risks

7.5 Appendix E holds information relating to confidential risks as identified by the Risk Management Policy and Procedures.

8. Background papers used to compile this report

8.1 Copies of any supplementary risk registers can be obtained by emailing mark.wills@solihull.gov.uk

9. List of Other Relevant Documents

9.1 Not applicable for this report.