

**Meeting date:** 9<sup>th</sup> January 2023

**Report to:** Audit Committee

**Report title:** Monitoring Report – Internal Audit

**Report from:** Head of Audit Services

**Report author/lead contact officer:** Steve Sparkes – Head of Audit Services

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**Wards affected:**

- All Wards |  Bickenhill |  Blythe |  Castle Bromwich |  Chelmsley Wood |  
 Dorridge/Hockley Heath |  Elmdon |  Kingshurst/Fordbridge |  Knowle |  
 Lyndon |  Meriden |  Olton |  Shirley East |  Shirley South |  
 Shirley West |  Silhill |  Smith's Wood |  St Alphege
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**Public/private report:** Public

**Exempt by virtue of paragraph:** N/A

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**1. Executive Summary**

- 1.1 To inform the Audit Committee of work undertaken by Internal Audit as at 9<sup>th</sup> January 2023.
- 1.2 This report sets out the audit reviews undertaken and summarises the results of Internal Audit, Counter Fraud, Corporate Investigations and other related activity.

**2. Decision(s) Recommended**

- 2.1 The Audit Committee is asked to note the work of Internal Audit and review this information, identifying any areas where the Audit Committee wishes to receive further information or explanation from Internal Audit and/or management.

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**3. Matters for Consideration**

- 3.1 Internal Audit has a legal standing in terms of the Accounts and Audit Regulations 2015 and its role is to provide an independent appraisal of the Council’s internal control systems, governance and risk management arrangements. This requirement is embodied in the Council’s Financial Regulations.
- 3.2 Internal Audit examines, evaluates and reports objectively upon the adequacy of internal control, governance and risk management as a contribution to the proper, economic, efficient and effective use of resources.
- 3.3 In determining its work plan, Internal Audit takes into account the Council’s Plan and priorities in order to maximise available resource deployment through improving risk management, promoting effective governance in all areas of council activity and making recommendations to improve internal controls.
- 3.4 The Annual Internal Audit Plan for 2022/23 was endorsed by the Audit Committee

**4. What options have been considered and what is the evidence telling us about them?**

- 4.1 Not applicable for this report.

**5. Reasons for recommending preferred option**

- 5.1 Not applicable for this report.

**6. Implications and Considerations**

- 6.1 State how the proposals in this report contribute to the priorities in the [Council Plan](#):

Priority:	Contribution:
People and Communities: 1. Improving outcomes for children and young people in Solihull. 2. Good quality, responsive, and dignified care and support for Adults in Solihull when they need it. 3. Take action to improve life chances and health outcomes in our most disadvantaged communities. 4. Enable communities to thrive.	See Section 3
Economy:	See Section 3

Priority:	Contribution:
5. Develop and promote the borough's economy, with a focus on revitalising our town and local centres. 6. Maximising the opportunities of UK Central and HS2. 7. Increase the supply of affordable and social housing that is environmentally sustainable.	
<b>Environment:</b> 8. Enhance our natural environment, improve air quality and reduce net carbon emissions.	See Section 3
9. Promote employee wellbeing	See Section 3

## 6.2 Consultation and Scrutiny:

6.2.1 Not applicable for this report.

## 6.3 Financial implications:

6.3.1 Not applicable for this report.

## 6.4 Legal implications:

6.4.1 Not applicable for this report.

## 6.5 Risk implications, including Risk Appetite:

6.5.1 Risks associated with the delivering the Internal Audit Plan are considered and where appropriate documented in the Audit Services Risk register which is reviewed monthly.

## 6.6 Equality implications:

6.6.1 Not applicable for this report.

## 6.7 Linkages to our work with the West Midlands Combined Authority (WMCA), Local

Enterprise Partnership or the Birmingham & Solihull Integrated Care System (ICS):

6.7.1 Not applicable for this report.

**7. List of appendices referred to**

7.1 Not applicable for this report

**8. Background papers used to compile this report**

8.1 Internal Audit reports

**9. List of Other Relevant Documents**

9.1 Public Sector Internal Audit Standards